PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

Please type a plus sign (+) inside this box —

Attorney Docket No.		876229600002				
		FURPHY, Thomas et al.				
	METHOD AND	SYSTEM FOR PROCESSING				

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. EL711877172US

1.										
See MFEP chapiter 600 concerning utility patient application contents.   Tunner of the content	APPLICATION ELEMENTS				ADDRE	- A A TA				7
1.  Fee Transmittal Form (e.g., PTO/SB/17) Substitute or organal and subjective for for precessing 2.  X Applicant claims small entity status. 3.  X Applicant claims small entity status. 3.  X Specification 4.  X Specification 5.  Statement Regarding Fed sportsoned R & D 6.  Reference to Sequence Issifing, a table, 6.  Reference to Se	See MPEP chapter 600 concerning utility patent application contents.				Ex	oress Mail" Mailing	diapel No	DC 3	0231	<u></u>
2. X Septicant claims small entity status. 3. Specification 4. Specification 5. Peterson's file of the invention 6. Cross Reference is the Related Applications 6. Peterson's Registry at the status of the invention 7. Peterson's Registry at the Related Applications 7. Peterson's Registry at the Related Applications 8. Peterson's Registry at the Related Applications 9. Peterson's Registry at the Invention of Invention Invent					7. <b></b>	CD-ROM or CD-R in	n duplicat	e, larç	table or	٥
3.   X   Specification   Cross Reference to Related Applications   Descriptive file of the Invention   Cross Reference to Related Applications   Statement Regarding Fed Sponsored R D   Reference to Sequence (string, a table, or a computer program listing appendix   Background of the Invention   Brief Summany   Brief Sponsor   Brief Summany   Brief Sponsor   Brief Sponsor   Brief Summany   Brief Sponsor   Brief Sp	(Submit an original and a authorities) or fee processing)			8	<b>שם</b> B. Nucleoti	SoMp <i>titel</i> /Pto <del>gram</del> de and/or Amino A	<del>(Appendi</del> .cid Seau	ence S	Submission	1
a. Designospherice wannegeneous est note below)  - Descriptive title of the invention - Cross Reference to Related Applications - Statement Reparting Fed sponsored R & D - Reference to sequence Isting, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.		See 37 CFR 1.27	1/2							:
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence (siting, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if flod) - Detailed Description of the Drawings (if flod) - Detailed Description of the Drawings (if flod) - Detailed Description - Colairn(s) - Abstract of the Disclosure  4.	İ	3. (preferred arrangeme	nt set forth below)							
Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claimin(s) - Claimin(s) - Claimin(s) - Claimin(s) - Abstract of the Disclosure  4.  \( \times \) Drawing(s) (35 U.S.C. 113) [ Total Sheets \) 9   1 - Could not Description - Total Pages 2   1 - Newly executed (original or copy) - Copy from a prior application (37 CFR 1.63 (d)) - Detailed Description of the Drawings (if filed) - Detailed Description - Total Pages 2   1 - Copy from a prior application (37 CFR 1.63 (d)) - Detailed Description of the Drawings (if filed) - Detailed Description - Total Pages 2   1 - Copy from a prior application (37 CFR 1.63 (d)) - Detailed Description of the Drawings (if filed) - Detailed Description of Descrip	١				b. Spet	Meatich Begselleu viee-under 37 CFR	Eisthy b	ice to	Addressee	
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- Brief Description of the Drawings (if filled) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.	l	<ul> <li>Background of</li> </ul>	the Invention	_	c	Statements verifying	ng identit	_	1/ 1//	Du
- Claim(s) - Abstract of the Disclosure  - Attorney  - Address  - Name  - Print Application  - A	۱	- Brief Description	on of the Drawings (if filed)	1	ACC	OMPANYING	APPER	H	HARTS	
- Abstract of the Disclosure  - Abstract of the Disclosure  4.  \( \) Drawing(s) (35 U.S.C. 113)	١		ription	1	، لـــا ،		•	heet 8	, ,,	
A. X Drawing(s) (35 U.S.C. 113) [Total Sheets 9] 1 11. English Translation Document (if applicable)  5. Oath or Declaration [Total Pages 2] 1 12. X Information Disclosure 6 Copies of IDS Statement (IDS)PTO-1449 6 Citations  a. X Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1,63 (d))  i. DELETION OF INVENTOR(S)  signed statement attached deleting inventor(s) named in the pnor application, see 37 CFR (1.63 (d))  for continuation divisional with Box 17 CFR 1,63 (d))  for life to the pnor application, see 37 CFR (1.63 (d))  for each of the pnor application, see 37 CFR (1.63 (d))  for each of the pnor application, see 37 CFR (1.63 (d))  for each of the pnor application, see 37 CFR (1.63 (d))  for each of the pnor application, see 37 CFR (1.76 (d))  for each of the pnor application, see 37 CFR (1.76 (d))  for each of the disclosure of the pnor application No. Core application No. Core application No. Scanner (IDS)  for poor application No. In the pnor application of the disclosure of the pnor application No. In the pnor application parts.  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:  Core of pror application No. Core application No. Core application No. In the properties of the pror application No. In the properties of the prore application No. In the properties of the prore application of the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label (insert Clustomer No. Cartest Date Code (shell here))  Varren M. Haines II  JONES, DAY, REAVIS & POGUE  North Point, 901 Lakeside Avenue  City Cleveland State Ohio Zip Code (44114)  Country US Registration No. (Attorneyl/Agent) 40,632	۱		e Disclosure	·					1	
5. Oath or Declaration [Total Pages 2] 12. X Information Disclosure 6 Copies of IDS citations  a. X Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) c. Copy from a prior application (37 CFR 1.63 (d)) for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)) 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application and a Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Prior application afformation Faraminer For CONTINUATION or Divisional Continuation-in-part (CIP) Prior application afformation For CONTINUATION or Divisional Continuation or divisional application and is hereby incorporated by reference. The incorporation gain only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  North Point, 901 Lakeside Avenue City Cleveland State Ohio Zip Code 44114  Country US Request and Cartification No. (Attorney)Agent) 40,632  Name (Printl Type) Warren M. Haines II Registration No. (Attorney)Agent) 40,632		4. X Drawing(s) (35 t	J.S.C. 113) Total Sheets 9	,		•		ent <i>(if a</i>	•	
b. Copy from a prior application (37 CFR 1.63 (d)) b. Copy from a prior application (37 CFR 1.63 (d)) c. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76  Continuation Divisional Continuation—Divisional Continuation—part (CIP) Prior application No. Sheet under 37 CFR 1.76  Continuation Divisional Continuation—or in an Application Data Sheet under 37 CFR 1.76  Prior application Data Sheet under 37 CFR 1.76  Continuation Divisional Continuation—or in an Application Data Sheet under 37 CFR 1.76  Continuation—Divisional Continuation—or in an Application Data Sheet under 37 CFR 1.76  Prior application information Examiner  Group Art Unit. For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box Sb, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation gan only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Insert Clistomer No. or Allect Bar Code laber Inery  Or X Correspondence address below  Name  Warren M. Haines II  JONES, DAY, REAVIS & POGUE  North Point, 901 Lakeside Avenue  City Cleveland State Ohio Zip Code 44114  Country  US Telephone (216) 586–3939 Fax (216) 579–02 2  Name (Printl Type) Warren M. Haines II  Registration No. (Attorney/Agent) 40,632				1				6	,	
b.   (for continuation/divisional with Box 18 completed) i.   DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).   16.	l	a. X Newly exec	cuted (original or copy)	İ	13	Preliminary Amend	dment			1
16. X   Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:    Continuation   Divisional   Continuation-in-part (CIP)   of prior application information   Examiner   Group Art Unit		b. Copy from a (for continua	a prior application (37 CFR 1.63 (d)) ation/divisional with Box 18 completed)							
1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Divisional  Continuation-in-part (CIP)  Prior application Information  Examiner  Group Art Unit.  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted of the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer No. or Affect bar code label insert in the prior application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted on the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Insert Customer No. or Affect bar code label insert in the prior application and is hereby incorporated by reference. The incorporated by reference. The incorporated by reference. The incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted application and is hereby incorporated by reference. The incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted application no.  19. Correspondence address below  Name  Varient M. Haines II  JONES, DAY, REAVIS & POGUE  Address  Ohio  Zip Code 44114  Country  Name (Printl Type)  Warren M. Haines II  Registration No. (Attorney/Agent) 40,632	ļ	[			15.	Certified Copy of F (if foreign priority is	Priority Do claimed,	cume	ent(s)	
Application Data Sheet. See 37 CFR 1.76  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No  Prior application information:  Examiner  Group Art Unit.  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer No. or Attent bar code label here)  Or X Correspondence address below  Name  Warren M. Haines II  JONES, DAY, REAVIS & POGUE  Address  North Point, 901 Lakeside Avenue  City  Cleveland  State  Ohio  Zip Code 44114  Country  US  Registration No. (Attorney/Agent) 40,632	named in the prior application, see 37 CFR				(b)(2)(B)(i). Applicant must attach form PTO/SB/35					
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of pnor application No  Prior application information: Examiner Group Art Unit.  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label Insert Customer No. or Affect Dar Code laber nere) or X Correspondence address below  Name Warren M. Haines II  JONES, DAY, REAVIS & POGUE  Address North Point, 901 Lakeside Avenue  City Cleveland State Ohio Zip Code 44114  Country US Telephone (216) 586–3939 Fax (216) 579–02 2  Name (Printl Type) Warren M. Haines DI Registration No. (Attorneyl Agent) 40,632		6. Application Data	Sheet. See 37 CFR 1.76			Other:			• • • • • • • • • • • • • • • • • • • •	
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Prior application information:  Examiner Group Art Unit.  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer No or Attach bar code label here)  Name  Warren M. Haines II  JONES, DAY, REAVIS & POGUE  Address  North Point, 901 Lakeside Avenue  City  Cleveland  State  Ohio  Zip Code  44114  Country  US  Telephone  (216) 586-3939  Fax  (216) 579-02  2  Name (Print/Type)  Warren M. Haines II  Registration No. (Attorney/Agent)  40,632	l				-4	and the stands				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label nere)  Name  Warren M. Haines II  JONES, DAY, REAVIS & POGUE  Address  North Point, 901 Lakeside Avenue  City  Cleveland  State  Ohio  Zip Code 44114  Country  US  Telephone  (216) 586-3939  Fax  (216) 579-02  2  Name (Printl Type)  Warren M. Haines VI  Registration No. (Attorney/Agent)  40,632				,						
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Name Warren M. Haines II  JONES, DAY, REAVIS & POGUE  Address North Point, 901 Lakeside Avenue  City Cleveland State Ohio Zip Code 44114  Country US Telephone (216)586-3939 Fax (216)579-02 2  Name (Print/Type) Warren M. Haines II Registration No. (Attorney/Agent) 40,632			<del></del>							
JONES, DAY, REAVIS & POGUE		Customer Number or Bar Code Label (Insert Customer No. or Affect bar code label here).								
JONES, DAY, REAVIS & POGUE  Address  North Point, 901 Lakeside Avenue  City  Cleveland  State  Ohio  Zip Code 44114  Country  US  Telephone  (216) 586-3939  Fax  (216) 579-02  Name (Printl Type)  Warren M. Haines $\sqrt{11}$ Registration No. (Attorney/Agent) 40,632	Γ	Name Warren M. Haines II						1		
City         Cleveland         State         Ohio         Zip Code         44114           Country         US         Telephone         (216) 586-3939         Fax         (216) 579-02         2           Name (PrintlType)         Warren M. Haines II         Registration No. (Attorney/Agent)         40,632	ľ						1			
Country US Telephone (216)586-3939 Fax (216)579-02 2  Name (Print/Type) Warren M. Haines II Registration No. (Attorney/Agent) 40,632	Address North Point, 901 Lakeside			de Av	enue					7
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		Country	US Te	lephon	e (21	6) 586-3939	Fa	х	(216)579-02	2
	-	Name (Print/Type)	Warren M. Haines II		Registrat	ion No. (Attorney	(Agent)	40	,632	
	7 7									

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

02-06-01

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 883.00

Complete if Known					
Application Number	Not Issued				
Filing Date	February 5, 2001				
First Named Inventor	FURPHY, Thomas W., et al.				
Examiner Name	Unknown				
Group Art Unit	Unknown				
Attorney Docket No.	876229600002				

	METHOD OF PAYMENT	FEE CALCULATION (continued)					
	1. X  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES					
١	Deposit (	Large Small Entity Entity					
	Account Number 501432 (Ref. 876229600002)	Entity Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid				
I	Account Jones, Day, Reavis & Pogue	105 130 205 65 Surcharge - late filing fee or oath					
	Name Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
1		139 130 139 130 Non-English specification					
ŀ	See 37 CFR 1.27	147 2,520 147 2,520 For filling a request for ex parte reexamination	1				
1	2. X Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to					
the state	X Check Credit card Money Other	Examiner action					
11 May	FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
ä	1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	<u> </u>				
n Bes	Large Entity Small Entity	116 390 216 195 Extension for reply within second month	<del> </del> -				
1300	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month	<b> </b>				
	101 710 201 355 Utility filing fee \$355	118 1,390 218 695 Extension for reply within fourth month					
	106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month					
۱	107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal					
I	108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal					
ı	114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing					
	`	138 1,510 138 1,510 Petition to institute a public use proceeding					
L	SUBTOTAL (1) (\$) 355.00	140 110 240 55 Petition to revive - unavoidable					
ı	2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional					
l	Fee from Ext <u>ra Clai</u> ms <u>below Fee Paid</u>	142 1,240 242 620 Utility issue fee (or reissue)					
l	Total Claims 52 -20** = 32 x \$9 = 288	143 440 243 220 Design issue fee					
ı	Independent 8 - 3** = 5 x \$40 = 200	144 600 244 300 Plant issue fee	<u> </u>				
l	Multiple Dependent =	122 130 122 130 Petitions to the Commissioner					
ł		123 50 123 50 Processing fee under 37 CFR 1.17(q)					
l	Large Entity Small Entity  Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
I	Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40.00				
	102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))					
	104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be					
l	109 80 209 40 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))					
	110 18 210 9 ** Reissue claims in excess of 20 and over original patent	179 710 279 355 Request for Continued Examination (RCE)					
ı	and over original patern	169 900 169 900 Request for expedited examination of a design application					
	SUBTOTAL (2) (\$) 488.00	Other fee (specify)					
L	**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)	40.00				

SUBMITTED BY					Complete (if applicable)			
Name (PrintlType)	Warren M. Haimes II	Registration No. (Attorney/Agent)	40,632	Telephone	(216) 586-3939			
Signature	Man I have A			Date	2/2/01			

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## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

		Furphy, Thomas W., et al.			
	Title Method and System for Processing Transactions				
Atty Docket Number			,		

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement. that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Signature

Warren M. Haines II

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).